

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/661,121         | 09/13/2000          | Dr. Helmut Michele    | ART-004US              |

Kelly McCrystle  
Sierra Patent Group  
PO Box 6149  
Stateline, NV 89449

**FORMALITIES LETTER**



\*OC000000005566441\*

Date Mailed: 11/20/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

01/02/2001 HNC/R1 00000030 09661121

- The balance due by applicant is \$ 950.

|           |        |    |
|-----------|--------|----|
| 01 FC:201 | 355.00 | 0P |
| 02 FC:205 | 65.00  | SP |
| 03 FC:139 | 230.00 | 0P |

*A copy of this notice **MUST** be returned with the reply.*

*Paula B. H.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Art Unit:  
Michele et al. )  
Serial No: 09/661,121 )  
Filed: September 13, 2000 )  
For: CONFIGURATION FOR )  
FORMING A VENTILATION )  
APERTURE )



CERTIFICATE OF MAILING  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

On: 12/18/00 Stephanie Davis  
Date Stephanie Davis

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated November 20, 2000,  
please find enclosed herewith:

1. A German Language Declaration and Power of Attorney executed by the Inventors;
2. Statement Claiming Small Entity Status;
3. Certified Copy of the Priority Document;
4. Preliminary Amendment;
5. Substitute Specification;
6. Certified Copy of the Translation;

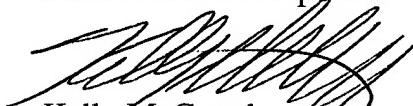
7. Check in the amount of \$550.00 for Filing Fee and Surcharge for Assignee as a Small Entity calculated as follows:

|                           |          |
|---------------------------|----------|
| Basic filing fee          | \$355.00 |
| Non-English Specification | \$130.00 |
| Surcharge                 | \$65.00  |
| Total                     | \$550.00 |

4. Copy of Notice to File Missing Parts.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.



Kelly McCrystle  
Reg. No.: 46,257

Dated: 12/18/2000  
Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500